

04-14-04

2152



EXPRESS MAIL NO. EV384065766US

PATENT APPLICATION

Docket No: 15689.76

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Shunichi Sekiguchi et al.

Serial No.: 09/935,889

Filing Date: August 23, 2001

For: INFORMATION DELIVERY SYSTEM AND
INFORMATION DELIVERY METHOD

Customer No.: 022913

) Art Unit
) 2152

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INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which

the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

DATED April 12, 2004.

Respectfully submitted,



ADRIAN J. LEE
Attorney for Applicant
Registration No. 42,785
Customer No. 022913

AJL: ds
DS0000001902V001

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Shunichi Sekiguchi et al.

Docket No.

15689.76

Serial No.

09/935,889

Filing Date

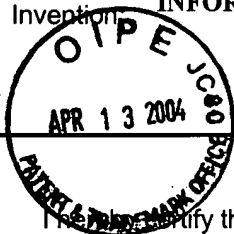
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Invention INFORMATION DELIVERY SYSTEM AND INFORMATION DELIVERY METHOD

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I hereby certify that the following correspondence:

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is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

April 13th, 2004

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ADRIAN J. LEE

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EV 384065766 US

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Transmittal for Information Disclosure Statement (2 pages; duplicate);
Information Disclosure Statement Under 37 C.F.R. § 1.97 (2 pages);
Form PTO-1449 Listing of References (1 page);
Legible Copy of References; (8 references)
Certificate of Express Mail (EV384065766US);
Acknowledgment Postcard

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15689.76

In Re Application Of: Shunichi Sekiguchi et al.

Serial No.
09/935,889

Filing Date
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Title: INFORMATION DELIVERY SYSTEM AND INFORMATION DELIVERY METHOD

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Address to:
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P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15689.76

In Re Application: Shunichi Sekiguchi et al.

#10

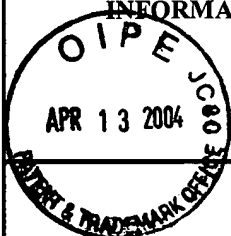
Serial No.
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INFORMATION DELIVERY SYSTEM AND INFORMATION DELIVERY METHOD



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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
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Signature

Dated: April 13, 2004

ADRIAN J. LEE

Attorney for Applicant

Reg. No.: 42,785

Customer No.: 022913

CC:

<p style="text-align: center;">INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)</p> <div style="position: relative; height: 100px;"> <div style="position: absolute; top: 0; left: 0; width: 100%; height: 100%; border: 2px solid black; border-radius: 50%; text-align: center; line-height: 100px; font-size: 24px; font-weight: bold; transform: rotate(-45deg);"> OIA APR 13 2004 PATENT & TRADEMARK OFFICE </div> </div>	Docket Number (Optional) 15689.76	Application Number 09/935,889
	Applicant(s) Shunichi Sekiguchi et al.	
	Filing Date August 23, 2001	Group Art Unit 2151

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	6,016,509	1/18/2000	Dedrick	709	224	1/9/1998
	2	5,835,087	11/10/1998	Herz et al.	345	327	10/31/1995

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	3	WO00/02148	1/13/2000	WIPO	G06F17	30	✓	
	4	WO97/37462	10/9/1997	WIPO	H04L12	14	✓	
	5	WO99/31610	6/24/1999	WIPO	G06F17	60	✓	
	6	GB2324669A	10/28/1998	UK	H04N7	16	✓	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
	7	DIGESTOR: DEVICE-INDEPENDENT ACCESS TO THE WORLD WIDE WEB Timothy W. Bickmore, Bill N. Schilit 1997
	8	CONVERTING PC GUIs FOR NONPC DEVICES Dan Johnson February 1998

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.